# ABHIDHAMMA STUDIES I Jotipāla and the *Abhidhamma Anutīkā*<sup>1</sup>

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# summary of argument

- 1. We learn from the  $C\bar{u}lavamsa$  that a monk named Jotipāla played an important role in the Buddhism of the island of Ceylon at the end of the sixth century and during the first decade of the seventh century.
- 2. In the thirteenth century *sanne* to the *Visuddhimagga* (Vism-sn), on two separate occasions Sanskrit verses are ascribed to a Jotipāla who is given an epithet appropriate for a monk of very high status.
- 3. In Sumangala's thirteenth century  $t\bar{t}k\bar{a}$  to the *Abhidhammāvatāra* (Abhidh-av-t) the views of Jotipāla are cited nine times. He is referred to as rejecting the views of Ānanda. He is clearly regarded as a very prominent figure, since sometimes the reference is simply to Jotipāla and others and on two occasions he is cited with Dhammapāla in second place.
- 4. Some of the views ascribed to Jotipāla in Abhidh-av-ṭ are found in the  $Anut\bar{i}k\bar{a}$  (i.e. commentary on a  $t\bar{i}k\bar{a}$ ) to  $\bar{A}$ nanda's  $M\bar{u}lat\bar{i}k\bar{a}$  on the Abhidhamma Commentary. Moreover, Vism-sn quotes a passage from what it calls the Jotiya-anu $t\bar{i}k\bar{a}$ . The passage is indeed found in the  $Anut\bar{i}k\bar{a}$ . The identification of Jotipāla as author of the  $Anut\bar{i}k\bar{a}$  (or of a predecessor to that work) seems then very plausible.
- 5. If Jotipāla is dated to c. 600 A.D., a basis can now be provided to narrow the range of possible dates for such works as the  $M\bar{u}lat\bar{t}k\bar{a}$ . Since most (if not all) of the works ascribed to Dhammapāla must be subsequent to the writing of Jotipāla's  $Anut\bar{t}k\bar{a}$ , their authorship and dating can also now be reconsidered.

<sup>&</sup>lt;sup>1</sup> Thanks are due to K.R. Norman, Oskar von Hinüber and Richard Gombrich for helpful comments on earlier drafts of this article.

## argument in detail

## 1. Who was Jotipāla?<sup>2</sup>

It is clear that Jotipāla was a figure of importance in the *abhidhamma* tradition of the Mahāvihāravāsins. The number of times he is quoted by Sumaṅgala in the early thirteenth century and by Parākramabāhu II in the mid-thirteenth century would be enough to establish this. Indeed at Abhidh-av-ṭ II 177 and 185 he is cited with Dhammapāla in second place! In the *Nikāyasaṃgraha* a list of leading writers prior to the twelfth century begins with Buddhaghoṣa and Buddhadatta, followed by Dharmapāla and then Jotipāla. 4

Who was he then? A *thera* named Jotipāla who had previously dwelt with Buddhaghosa in Kañcipura is said (in the colophons) to have requested the latter to write his commentaries to the *Saṃyutta* and *Aṅguttara Āgamas*. This Jotipāla may well have been of South Indian origin and might well have known Sanskrit, but he is certainly too early to be the elder in question. In fact, the Jotipāla with whom we are concerned is shown by Sumangala to be responding to the views of Ānanda whom Sumangala probably believed to be the author of both the *Mūlaṭīkā* and the *Saccasankhepa*. In effect then, he must be later than the fifth and sixth century commentaries at minimum — the ideas of Ānanda are very important to the development of the *abhidhamma* component of the *ṭīkās*, but completely unknown even to Nidd-a and Patis-a.<sup>5</sup>

As far as I know, this leaves only one possibility, but a very good one. In the *Cūlavaṃsa* (XLII 35) we are told that during the reign of Aggabodhi I at the end of the sixth century A.D. a *mahā-thera* named Jotipāla(ka) defeated the Vetulla-vādins on the island in debate. (It is evident from his donations that this king actively supported the Mahāvihāra, although probably not exclusively). In the following verses we have the story of an individual raising his hand against Jotipāla and

On Jotipāla, see: Malalasekera 1928, p. 210f.; Godakumbura 1943, p. 91; Rahula 1966, p. 103f.; Saddhātissa 1965, Introduction, p. 109; Pieris 1978, p.74.

<sup>&</sup>lt;sup>3</sup> See section three below.

<sup>&</sup>lt;sup>4</sup> Nikāyas 81. There are eight more names: Kṣema, Dharmaśrī, Nanda, Ānanda, Anuruddha, Upatiṣya, Buddharakṣita and Maudgalyāyaṇa. This list includes practically all important writers of *abhidhamma* material prior to the twelfth century whose names are known to us. (The only obvious omissions are Mahānāma and Upasena.) If it is assumed that the last two names are a late addition, then it is possible (but not certain) that this was in fact originally a list of specifically South Indian authors.

 $<sup>^5</sup>$  I shall leave aside the author of the *Kavi-dappaṇa-nīti*, certainly a Jotipāla by the evidence of its introduction and conclusion, on the (preliminary) assumption that he is probably later in date.

promptly getting a swelling (gaṇḍa) on his hand from which he later died. The king had faith in him and had him dwell vihāre yeva — either in the vihāra where the debate was held (so Geiger) or, more probably, in the Mahāvihāra itself. There is no way of knowing for certain how much historical basis there is for such a legendary story, first found in a source more than six centuries later. But there is a strong tendency in South Asian literature for legends of victory in debate to be told of well-known literary figures. So we might reasonably expect that the Jotipāla in question would be the author of major writings.

There are two further mentions of Jotipāla in the reign of the following king: Aggabodhi II (604–14 A.D.). We are told (vv. 44–49) that a Kalinga king with his chief queen and minister came to Ceylon and all three ordained under Jotipāla. Later we are told that Jotipāla persuaded the king to carry out repairs to the Thūpārāma and played a major part in the ceremonies at the conclusion of this work (vv. 51–60). Clearly the name of Jotipāla was preserved very prominently in the traditions of the Mahāvihāra relating to this period. He is then a very suitable candidate both as the author of the Sanskrit verses cited in Vism-sn and as the Ācariya-Jotipāla-thera whose views are mentioned in Abhidh-av-t.

According to the *Nikāyasaṃgraha* Jotipāla came from Jambudīpa.<sup>6</sup> That is perhaps also implied in the *Cūlavaṃsa*, if we understand that the king is specifically mentioned as arranging for him to dwell in the Mahāvihāra. Of course, Jambudīpa could refer to North India or South-East Asia, but it is much more likely that he came from the major centre of non-Mahāyāna Theravādin tradition in the Tamil country.<sup>7</sup> Given the obvious similarity of the names Dhammapāla and Jotipāla,<sup>8</sup> we could look to some kind of pupil-teacher or monastic relation between the two (and possibly with Ānanda, the author of the *Mūlaṭīkā* too), if some of the works attributed to Dhammapāla do indeed belong to this period.<sup>9</sup> But more evidence would be needed to confirm this.

<sup>&</sup>lt;sup>6</sup> Nikāyas 72.

<sup>&</sup>lt;sup>7</sup> According to Hsüan-tsang, in the early sixth century there were 100 monasteries and over 10,000 monks of the Sthavira school in the kingdom whose capital was Kāñcipura (Rongxi 1996, p. 320). Since he normally refers to the Abhayagiri school by the name of Mahāyāna Sthāvira/Sthavira, this suggests that the Mahāvihāra tradition or something similar was predominant here. This is exactly what we would expect, given the literary importance of Pali writers from South India in this period.

 $<sup>^8</sup>$  In modern times, at least, the ordination names of monks in the same monastic lineage sometimes contain a common component. Compare the well-known group of monks with names beginning with  $\tilde{N}\bar{a}na$ - ordained in modern Ceylon.

<sup>&</sup>lt;sup>9</sup> See also: Malalasekera 1928, p. 210f.

## 2. The verses ascribed to Jotipāla in Vism-sn

Sanskrit stanzas are twice attributed to Jotipāla by name in the Sinhalese *sanne* to the *Visuddhimagga* (Vism-sn) and no doubt others of the numerous Sanskrit quotations in that work are from Jotipāla too, although that is more difficult to establish. We should note that on both occasions he is referred to as  $m\bar{a}himi = mah\bar{a}-s\bar{a}mi$ , a title likely to have been used of a monk considered (in the thirteenth century) to have been of very high status.

I shall treat the two contexts in turn:

in defence of the heart-base Vism-sn III 1060 (to Vism 447) attributes the following *śloka* to Jotipāla:

me ma kīha Jotipāla-māhimiyo:

Vastv-āśrayor dvayor dhātvo rūpâvabaddha-vṛttitaḥ hrdd hi tau dvāv upādāya rūpâśrayo bhava-dvaye yi. (1)

"Because the two elements that have the <heart->base as their support operate bound up with  $r\bar{u}pa$ , the heart is certainly the support for  $r\bar{u}pa$  in two <kinds of> existence<sup>10</sup> in dependence upon those two <elements>." (1) (cp. Abhidh-av 674; Sacc 12)

This is a presentation of part of the arguments in support of the Theravādin notion of the heart-base (*hadaya-vatthu*). This was known in Sanskrit Buddhist circles as a view specific to the Sthaviravādin tradition.<sup>11</sup>

Vism-mht II 96f. has a prose equivalent to this:

Mano-dhātu-mano-viññāṇa-dhātūnaṃ nissaya-lakkhaṇaṃ hadaya-vatthū ti katham etaṃ viññātabban? ti. Āgamato, yuttito ca. ...<sup>12</sup> Yutti pana evaṃ veditabbā: nipphanna-upādāya-rūpa-nissayam dhātu-dvayam pañca-vokāra-bhave.

 $<sup>^{10}</sup>$  The two kinds of *bhava* are  $k\bar{a}ma$ -bhava and  $r\bar{u}pa$ -bhava. Five-constituent existence consists of both of these (excluding  $asa\tilde{n}\tilde{n}a$  existence).

<sup>&</sup>lt;sup>11</sup> See most recently: Skilling 1993, pp. 160ff.; Skilling 1994, p. 195f. Note that the term *vatthu*, used in this sense, originates with the *Paṭṭḥāna*, not as suggest by Skilling with Buddhaghosa.

 $<sup>^{12}</sup>$  I omit a section citing the *Paṭṭhāna* as canonical support and discussing the reason for the absence of any mention of *hadaya-vatthu* in the *Rūpakaṇḍa* of Dhs.

Tattharūpâyatanâdīnam, ...<sup>13</sup> pārisesato hadaya-vatthu tesam nissayo ti viññāyati; sakkā hi vattum nipphanna-upādāya-rūpa-nissayam dhātu-dvayam pañca-vokāra-bhave rūpa-paṭibaddha-vutti-bhāvato. Yam yañ hi<sup>14</sup> rūpa-paṭibaddha-vutti, tam tam nipphanna-upādāya-rūpa-nissayam diṭṭham<sup>15</sup> yathā cakkhu-viññāṇa-dhātū ti. 'Pañca-vokāra-bhave' ti ca visesanam mano-viññāṇa-dhātu-vasena katam. Mano-dhātu pana catu-vokāra-bhave n'atth'eva. (cf. Abhidh-av-t II 139)

"[Objection:] If it is said that the heart-base has the characteristic of being the support (*nissaya*) for mind element and mind discrimination element, how it that to be known?

[Reply:] From scripture and from reasoned argument (yutti). ... [Scriptural evidence is given, then:] But the reasoned argument should be understood as follows: in five-constituent existence the two elements [i.e. mind discrimination element and mind element] have as their support  $r\bar{u}pas$  which are both dependent and nipphanna. 16 Among <the fifteen> rūpas of that kind, the form and other bases [i.e. the sense objects] cannot be the support of these two elements because ... [similarly there are objections to ojas, to the male and female indrivas and to the life indriva]. Consequently it is known that the heart-base is their support; for the statement that the two elements have as their support  $r\bar{u}pas$ which are dependent and *nipphanna* in five-constituent existence can be made because they are operative when bound up with rūpas. For whatever is operative when bound up with rūpas, all such <discrimination> is found to have (dittham) as its support  $r\bar{u}pas$  which are dependent and *nipphanna*, just as <in the case of> eye discrimination element.<sup>17</sup> But 'in five-constituent existence' is specified with reference to mind discrimination element. By contrast (pana), mind element is never found in four-constituent existence."

 $<sup>^{13}</sup>$  Again, I omit the refutation of various other  $r\bar{u}pa$ -dhammā as nissaya for mental states. A verse version of the omitted passage is found at Abhidh-s-sn 173; Abhidh-s-mhṭ 152 = Sacc-t to Sacc 12. This could be a Pali rendering of this portion of Jotipāla's work.

<sup>&</sup>lt;sup>14</sup> Omitted in some texts.

<sup>&</sup>lt;sup>15</sup> Be cites (from Sī) a variant: *niddiṭṭhaṃ*, but cp. Abhidh-av-ṭ: *dissati*.

 $<sup>^{16}</sup>$  See Vism 450 for the division of the 28 kinds of  $r\bar{u}pa$  into 18 which are nipphanna and ten which are anipphanna.

<sup>&</sup>lt;sup>17</sup> Tikap 4: cakkhāyatanam cakkhu-viññāṇa-dhātuyā ... nissaya-paccayena paccayo.

The arguments concerning the heart-base continue with another Sanskrit stanza on the next page of Vism-sn (unattributed but likely to be from the same source):

Tad vastu-bhāvāt karmottham dṛśvat pratīyana-kriyam hrt-khedāc cârtha-cintāyām tatra-stham iti gamyate. (2)

"That is known to be originated by *karma* because it is a base (*vastu*), to be fixed in its function like the eye and to be positioned there <in the chest> because the heart becomes tired when one reflects on matters." (2)

A Pali rendering of this stanza at Abhidh-av-ṭ II 139 is cited as: *yathâhu ācariyā*:

Kamma-jam vatthu-bhāvā tam cakkhum va niyata-kriyam cintāya ca uro-khedā tatra titthan ti vijāniyan ti.

#### Vism-mht II 97f.:

Hotu dhātu-dvaya-nissayo hadaya-vatthu, upādāya-rūpañ ca; etam pana kamma-samuṭṭhānam, paṭiniyata-kiccam, hadaya-padese ṭhitam evā ti katham viññāyatī? ti. Vuccate: vatthu-rūpa-bhāvato kamma-samuṭṭhānam; cakkhu viya tato eva paṭiniyata-kiccam (vatthu-rūpa-bhāvato ti ca viññāṇa-nissaya-bhāvato <ca> ti attho); aṭṭhiṃkatvā, manasi-katvā, sabba-cetasā samannāharitvā, kiñci cintentassa hadayassa khijjanato tatth' etam avaṭṭhitan ti viññāyati. 18

"[The objection might be raised: 'One may concede that the <heart-> base is the support for the two elements and that it is dependent  $r\bar{u}pa$ , but how is it known that the <heart-base> is originated by kamma, has a fixed function and is positioned in the region of the heart?' The answer is as follows: 'it is known that it is originated by kamma because it is the  $r\bar{u}pa$  of a base; it is known that it is fixed in its function like the eye for the

<sup>18</sup> cf. Abhidh-av-t II 139: Hotu tāva dhātu-dvaya-nissayo vatthu, upādāya-rūpañ ca; tam pan' etam kamma-samuṭṭhānam paṭiniyata-kiccam hadaya-ppadese ṭhitam ekan ti daṭṭhabbam katham etam viññāyatīti? Vuccate: vatthu-rūpa-bhāvato kamma-samuṭṭhānam cakkhu viya (yañ hi viññāṇassa vatthu-bhūtam rūpam, tam kamma-samuṭṭhānam yathā cakkhu-pasādo); tato eva paṭiniyata-kiccam; aṭṭhimkatvā manasikatvā sabbam cetasā samannāharitvā kiñci cintentassa hadaya-ppadesassa khijjanato tatthedam tiṭṭhatī ti viññāyati.

same reason ( $tato\ eva$ ) (i.e. both because it is the  $r\bar{u}pa$  of a base <and> because it is the support of discrimination) <and it is known> that it is placed there <in the chest> because one's heart becomes tired when one reflects on something after giving heed to it, paying attention to it and considering it with the whole of one's mind'."<sup>19</sup>

#### abhijñā

Again, at Vism-sn III 1098 (to Vism 456) two *gāthās* are attributed to Jotipāla:

me ma kīha Jotipāla-māhimiyo da:

Nâtrâpy abhijñā dhyānasya dānâder artha-lābhavat ihânṛśaṃsa-bhūtatvāt phaladâsambhavād api. (3)

Nânya-bhū-phaladaṃ karma rūpa-pākasya go-caraḥ karmālamba<ḥ> parittâdi na cety ayam asambhavaḥ. (4)

"Higher knowledge does not give results because it is the reward in this existence of *dhyāna* just as the obtaining of wealth <is the reward of> giving and the rest; also because it is impossible. (3) The reason that it is impossible is that *karma* does not give fruit on a different level <to its own> and the object of resultant <*citta*> of the rūpa <level> is <sign of> the *karma*, not such objects as small <*dhammas*>." (4)

The content of these verses is close to material we find in the  $t\bar{t}k\bar{a}s$ , as a passage in Vism-mht demonstrates clearly. They are almost certainly from a Theravādin source.<sup>20</sup>

## Vism-mht II 128:

Yam pan' ettha pañcama-jjhāna-cittam abhiññāppattam, tassa vipāko eva n'atthi. Kasmā n'atthi? Asambhavato, ānisamsa-bhūtattā ca. Tañ hi vipākam dentam rūpâvacaram eva dadeyya. Na hi añña-bhūmikam kammam añña-bhūmikam vipākam deti. Kamma-nimittârammaṇatā ca rūpâvacara-vipākassa vuttā ti na tam

 $<sup>^{19}</sup>$  Or, read  $sabbam\ cetas\bar{a}$  as with Abidh-av-ṭ: 'mentally adverting to it in full.'

 $<sup>^{20}</sup>$  The *abhidhamma* system involved is closely related to or identical to the Theravādin and very different to the understanding of the *abhiññā* in the Sarvāstivādin *abhidharma*. Compare: Dhs  $\S1408$ .

aññam ārabbha pavattati parittârammaṇâdi-ārammaṇañ ca taṃ na hotī ti ayam asambhavo; jhānassa ānisaṃsa-bhūtañ ca dānâdīnam tasmim atta-bhāve paccaya-lābho viyā ti.

"Now fifth *jhāna citta* which has obtained higher knowledge has no resultant. Why does it have none? (a) Because it is impossible and (b) due to it being the reward." (a) If <fifth *jhāna citta* which has obtained higher knowledge> gave a resultant, it could only give one which is *rūpāvacara*; for *kamma* of one level does not give a resultant of a different level. Since it has been declared <in the commentarial literature<sup>21</sup>> that *rūpāvacara* resultant <*citta*> has as its object the sign of the *kamma*, it does not operate with a different <object> and does not have such objects as small objects.<sup>22</sup> This is <what is meant by saying that it is> impossible. (b) And being the reward of *jhāna* is comparable to obtaining requisites in the same body (*attabhāva*) <as rewards of> giving and other such acts."

This may best be seen as a simpler and clearer presentation of material garnered from both  $M\bar{u}lat\bar{t}k\bar{a}$  and  $Anut\bar{t}k\bar{a}$ . In Appendix A I give longer quotations (passages 1 and 2) to illustrate the way in which these texts are related, since they are not always conveniently available.

#### 3. References to Jotipāla in the Abhidhammāvatāra-ṭīkā

Jotipāla is mentioned nine times in Sumangala's  $Abhidhamm\bar{a}vat\bar{a}ra-t\bar{t}k\bar{a}$  (Abhidh-av-t) with reference to eight opinions. Not all of these passages can be related to the  $Anut\bar{t}k\bar{a}$ . In four cases Jotipāla is mentioned in the singular. These items relate rather to the verse text in Sanskrit which Vism-sn attributes to him or to other Sanskrit material also found in Vism-sn. But four of those given with Jotipāla's name together with Dhammapāla and/or unnamed *theras* are closely related to the  $Anut\bar{t}k\bar{a}$ ; all four mention the views of Ānanda and plainly derive from this context. It is on the basis of these four passages that I confirm the authorship of the (or an)  $Anut\bar{t}k\bar{a}$  by Jotipāla. For the sake of having all the material conveniently cited in one source, however, I give the remaining contexts in Appendix B with some further discussion.

 $<sup>^{21}</sup>$  e.g. Vism 457: reading with most editions  $\it kammanimittam$  for  $E^{\rm c}$   $\it kammakammanimittam$ .

 $<sup>^{22}</sup>$  i.e. the object is a *paññatti* and not any of the *dhammas* of the *paritta* triplet; cf. Dhs  $\S1022$ ff.; 1408.

## Mentions of Jotipāla together with others

item no.	<i>ṭīkā</i> page	debated issue	exact name used
(a)	II 66	can a <i>tad-ārammaṇa</i> follow a <i>kiriyā-javana</i> ? (view of 'Ānandâcariyo' rejected)	Ācariya-Jotipāla- ttherâdayo pana: ti vadanti.
(b)	II 177	$r\bar{u}pa$ in the Brahma realm (view of ' $\bar{A}$ nandâcariyo' rejected)	Ācariya-Jotipāla- Dhammapāla- ttherā pana taṃ paṭikkhipanti.
(c)	II 181	can those of <i>opapātika</i> or <i>saṃseda-ja</i> rebirth lack the sense of smell? (view of <i>Ānandâcariyâdayo</i> rejected)	Jotipāla- ttherâdayo pana:
(d)	II 185	is there a <i>thiti-khaṇa</i> ? (view of 'Ānandâcariyo' rejected)	Ācariya-Jotipāla- Dhammapāla- ttherānaṃ pan' etaṃ na kkhamati.
(e)	II 303	the nature of <i>nirutti</i>	Ācariya-Jotipāla- ttherâdayo panâhu:

# a. tad-ārammaņa after a kiriyā javana

# Abhidh-av-ț II 66 ≠ Vism-mhț II 134:

Ānandâcariyo pana: Paṭṭḥāne "kusalâkusale niruddhe vipāko tad-ārammaṇatā uppajjatī" ti (e.g. Tikap 331²³) vipāka-dhamma-dhammānam evânantaraṃ tad-ārammaṇaṃ vuttaṃ; vipphāravantañ hi javanaṃ nāvaṃ viya nadī-soto bhav'aṅgaṃ anubandhati, na pana chaļ-aṅg'-upekkhāvato santa-vuttiṃ kiriyā-javanaṃ paṇṇa-puṭaṃ viya nadī-soto ti kiriyā-javanâṇantaraṃ tad-ārammaṇaṃ na icchati. Ācariya-Jotipāla-ttherâdayo pana "labbhamānassa pi kenaci adhippāyena katthaci avacanaṃ dissati, yathā taṃ Dhamma-saṅgahe akusala-niddese labbhamāno pi adhipati na vutto. Tasmā yadi avyākatânantaram pi tadārammaṇaṃ

 $<sup>^{23}</sup>$  The reading at Tikap 331 requires correction. My software counts 21 occurrences of this sentence in the VRI edition of Patth.

vucceyya, tadāvoṭṭhapanânantarampitassapavattiṃmaññeyyuntikiriyā-javanânantaraṃ tadārammaṇaṃ na vuttaṃ, na pana alabbhanato. Yañ c'ettha paṇṇa-puṭaṃ nidassitaṃ, taṃ nidassitabbena samānaṃ na hoti, nāvā-paṇṇa-puṭānaṃ hi nadī-sotassa āvaṭṭanaṃ gati ca visadisī ti nāvāyaṃ nadī-sotassa anubandhanaṃ, paṇṇa-puṭassa ananubandhanañ ca yujjati, idha pana kiriyā-javanetara-javanānaṃ bhav'aṅga-sotassa āvaṭṭanaṃ gati ca sadisī ti etassa ananubandhanaṃ, itarassa anubandhanañ ca na yujjati, tasmā vicāretabbam eva tan" ti vadanti.

"The teacher Ānanda does not allow <that there can be> tadārammaṇa citta after a kiriyā active mind (javana). This is because it is said in the Paṭṭhāna (in the passage: 'when skilful and unskilful citta has ceased, a resultant dhamma which is associated with the same object (tadārammaṇatā) <as the citta which has ceased> arises') that tadārammaṇa occurs after dhammas capable of giving results only; for the bhav'-anga mind imitates (anubandhati) the disturbing active mind (javana) as the river's flow (wake) follows a boat, but the does not imitate the peacefully operating²⁴ active mind of <the arahat> who has the six kinds of equanimity, just as the river's flow (wake) does not follow a reed basket <floating in the water>.

The teacher Elder Jotipāla and others say rather: 'This should be reflected upon for the following reasons: that which does occur is not mentioned in some places with some specific intention; e.g. in the *Dhamma-saṅgaha*, in the description of the unskilful, *adhipati*s are not mentioned, even although they exist. Therefore *tad-ārammaṇa* is not mentioned after *kiriyā* active mind since, if *tad-ārammaṇa* were mentioned after undeclared (*avyākata*) *citta*, people might suppose that it would occur after establishing mind,<sup>25</sup> but <the reason that it is not mentioned is> not because it does not occur. Also, the reed basket which is given as a simile here is not comparable to what is being explained; for the diverting and movement of the river's flow are dissimilar in the cases of a boat and a reed basket. A river's flow follows a boat, but no such following is appropriate in the case of a reed basket, whereas in this case it is not appropriate <for the flow of

 $<sup>^{24}</sup>$  The comparison is intended to recall the difference between vitakka and  $vic\bar{a}ra$ : Vism 142, etc.

 $<sup>^{25}</sup>$  i.e. when the consciousness process reaches only as far as the stage of establishing and lapses into bhav'-anga that would also involve the succession from  $kiriy\bar{a}$  citta to resultant.

the bhav'-aṅga mind> to imitate the <non-kiriyā active mind> and not to imitate the <kiriyā active mind> because the diverting and movement of the flow of the bhav'-aṅga <mind> are similar in the cases of  $kiriy\bar{a}$  active mind and the other kinds of active mind.'"

The debate here concerns two distinct understandings. For Ānanda the reason that the mind does not always revert to its normal flow immediately after an episode of activity is that such activity (*javana*) involves skilful and unskilful *kamma* (*vipākadhamma*). The active mind of an arahat does not involve such *kamma* and therefore does not disturb what follows — it leaves no wake. For Jotipāla and the later tradition the activity (*javana*) seems to be inherently disturbing regardless of the nature of the activity. This is perhaps because they attribute the *tadārammaṇa* which can follow after active mind to the strength or clarity of the object of the active mind.

It can easily be shown that the mentions (in Abhidh-av-ṭ and the  $Mah\bar{a}t\bar{i}k\bar{a}$ ) of Ānanda and Jotipāla, etc. correspond quite closely to the  $M\bar{u}lat\bar{i}k\bar{a}$  and the  $Anut\bar{i}k\bar{a}$  respectively. At Dhs-mṭ 134, Ānanda cites the same passage from the  $Patth\bar{a}na$  and another from the same work, pointing out that there is no place where a  $tad-\bar{a}rammana$  after  $kiriy\bar{a}$  citta is mentioned; so 'this  $thera-v\bar{a}da$  should be examined' and he then gives the same simile. Dhs-anut 140f. gives the two canonical passages more fully and points out that it is because Ānanda understands that the absence of mention of a  $kiriy\bar{a}$  active mind followed by  $tad-\bar{a}rammana$  is because that does not occur that he then gives a yutti (reasoned argument). (It is normal practice in the  $t\bar{i}k\bar{a}$ s to decide issues by reference to scriptural statements, but to resort to yutti where there is no conclusive statement.)

# The *Anuṭīkā* continues:

"As to this, some<sup>27</sup> say that the reed basket which has been put forward as a simile is not comparable because even in the case of <the arahat> who has the six kinds of equanimity the disturbing action ( $kiriy\bar{a}$ ) of the  $kiriy\bar{a}$  active mind cannot be rejected on the grounds that the citta is kiriya-maya. <They also say that> the absence of mention in the  $P\bar{a}li$  of the existence<sup>28</sup>

<sup>&</sup>lt;sup>26</sup> Vipphārikañ hi javanaṃ nāvaṃ viya nadī-soto bhav'-aṅgaṃ anubandhatī ti yuttaṃ; na pana chaḷ-aṅg'-upekkhavato santa-vuttiṃ kiriya-javanaṃ paṇṇa-puṭaṃ viya nadī-soto.

<sup>&</sup>lt;sup>27</sup> This suggests the existence of an earlier subcommentary on the  $M\bar{u}lat\bar{k}a$ .

<sup>&</sup>lt;sup>28</sup> Correct °abhāva- to °bhāva-.

of tad-ārammaṇas after kiriyā citta, even although they exist, is not a <valid> reason, because that which does occur is not mentioned in some places with some specific intention e.g. in the case of adhipatis which are not mentioned in the description of the unskilful in the Dhamma-sangaha, even although they exist. Therefore the absence of tad-ārammaṇas immediately after kiriyā active mind should be investigated."<sup>29</sup>

Sumangala is clearly following Vism-mhṭ closely (and not the  $Anut\bar{i}k\bar{a}$  itself) but adds the name of Jotipāla where Vism-mhṭ simply has keci. The  $Anut\bar{i}k\bar{a}$ , however, continues:

"Given that it is  $kiriy\bar{a}$ -maya, the active mind of <arahats> who have destroyed the  $\bar{a}savas$  and gained the state of  $t\bar{a}din$  is invariably free from causing disturbance, unlike that of others. However, the simile of the reed basket has been used for that because due to its peaceful nature it would have the quality (rasa) of settling <the mind>."30

It goes on to point out that the argument from the absence of any specific mention of the *adhipati*s in Dhs is invalid because the *Aṭṭha-kathā* (Dhs-a 261, referring back to 256 and 259) at that point specifically mentions that the method given earlier should be applied, i.e. they do exist.<sup>31</sup> Therefore, since it does not so indicate in the case of *tad-ārammaṇa*s after *kiriyā citta*, it cannot be argued that such a method should be applied in the case of *kiriyā citta*, which is quite different in nature to skilful or unskilful *citta*.<sup>32</sup>

Ettha keci: "chalang'-upekkhāvato pi kiriya-maya-cittatāya kiriya-javanassa vipphārika-kiriya-bhāvo na sakkā nisedhetun ti nidassana-bhāvena panna-puṭam upanītam asamānam. Kiriya-javanânantaram tad-ārammanâbhāvassa pāliyam avacanam pi akāranam labbhamānassa pi katthaci kenaci adhippāyena avacanato; tathā hi Dhamma-sangahe akusala-niddese labbhamāno pi adhipati na vutto. Tasmā kiriya-javanânantaram tad-ārammanâbhāvo vīmamsitabbo" ti vadanti.

<sup>30</sup> Sati pi kiriya-mayatte, sabbattha tādi-bhāva-ppattānam khūnâsavānam javana-cittam na itaresam viya vipphārikam. Santa-sabhāvatāya pana sannisinna-rasam siyā ti tassa panna-puṭam dassitam.

<sup>&</sup>lt;sup>31</sup> To be exact, the first three *adhipati*s are found in greed and hate *citta*, but only *saha-jātâdhipati* and not *ārammaṇâdhipati* are found in hate *citta*. No *adhipati*s are found in *moha citta*.

<sup>&</sup>lt;sup>32</sup> Tathā hi vuttam tattha Aṭṭha-kathāyam: "heṭṭhā dassita-nayattā" (Dhs-a 261) ti, na c'ettha dassita-nayattā ti sakkā vattuṃ vipāka-dhamma-dhammehi kusalâkusalehi atam-sabhāvānaṃ naya-dassanassa ayujjamānakattā.

The  $Anut\bar{i}k\bar{a}$  then adds an additional point:

"Moreover, because the *adhipati* of investigation is absent in that case [i.e. unskilful *citta*] and all <four *adhipatis*> are absent in some cases, one can say that it has been set out to present the teaching in a consistent way (eka- $ras\bar{a}$   $desan\bar{a}$ ). But < $\bar{A}$ nanda> says that there is no reason for the lack of mention because no such reason for the lack of mention is found in this case."

This point is not made in the later sources, including Vism-mht, perhaps because it is rather specific to the text of Dhs. In effect, it is saying that the presence and absence of *adhipatis* is rather variable and therefore the variations are omitted in Dhs for the sake of simplicity of presentation. But that argument is not applicable to the case of *tadārammaṇas* after *kiriyā citta*, since the position of Buddhaghosa (Vism 459) is that they can be present in all cases. Ānanda, of course, thinks that they are absent in all cases.

## b) $r\bar{u}pa$ in the Brahma realm

The Abhidhamma Commentary and the Visuddhimagga each contain a very long and similar account of conditioned origination. In the section commenting on the link between  $sankh\bar{a}ras$  and  $vi\tilde{n}n\bar{a}na$  both works give (largely identical) summary verses with a commentary. One of these verses defines the minimal number of material groupings which can arise at the first moment of rebirth. A subsequent verse indicates that Brahmas have  $39 \ r\bar{u}pas$  at the moment of rebirth; the commentary explains that this is made up of four  $kal\bar{a}pas$  (i.e. the decads of eye, ear and base with the nonad of life). The earlier Vimuttimagga (Trsl. p. 244) seems to have allowed  $49 \ r\bar{u}pas$  in this case (including the body decad).

In the  $M\bar{u}lat\bar{l}k\bar{a}$   $\bar{A}nanda$  rejects this on the grounds that it is incompatible with the relevant canonical passages:

<sup>33</sup> Api ca tattha vīmaṃsāya kesuci sabbesañ ca adhipatīnaṃ abhāvato, eka-rasaṃ desanaṃ dassetuṃ, uddhaṭo ti ca sakkā vattuṃ. Idha pana na tādisaṃ avacane kāraṇaṃ labbhatī ti avacane kāraṇaṃ n'atthī ti vuttaṃ.

<sup>&</sup>lt;sup>34</sup> The exact relationship between the two is unclear, but the following seems likely. The *Visuddhimagga* has used as its source partly the account in the earlier *Vimuttimagga* and partly an earlier commentary of some kind on the *Paccayâkāra-vibhanga*. (Notably, the *Vimuttimagga* version makes little use of *abhidhamma* material.) The *Abhidhamma Commentary* has probably used both an earlier commentary on the *Vibhanga* and the *Visuddhimagga*. It is difficult to tell how much is innovative in either case.

"In other cases (than birth in a womb) many *kalāpas* arise together. Therefore in the body (*attabhāva*) of a Brahma, many *gāvutas* in height, because many *kalāpas* arise together, the *rūpas* exceed thirty, even given that they consist of the septads of eye, ear and base with the hexad of life, since odours, tastes and food are excluded <in the case of the Brahma world>. <That is so> because there are many such <septads and hexads>. But in the *Aṭṭha-kathā* the arising in that place of the decads of eye, ear and base with the nonad of life is spoken of."35

He then goes on to cite Vibh 418f., which allows only five  $\bar{a}yatanas$  and five elements at the time of rebirth in the form realm as compared with Vibh 405, which allows six  $\bar{a}yatanas$  and nine elements in toto in the form<sup>36</sup> realm and then refers to the discussions at Kv 374ff. and in the *Yamaka*. All of this is reproduced in Abhidh-av-t, which then continues:

Ācariya-Jotipāla-Dhammapāla-ttherā pana tam paṭikkhipanti. Tathā ca vuttam tehi: "rūpâvacara-sattānam ghāna-jivhâyatanâbhāvato vijjamānā pi gandha-rasā āyatana-kiccam na karontī" ti, te anāmasitvā, Pāṭiyam 'pañcâyatanāni pātubhavantī' ti 'cha āyatanānī' ti ca ādi vuttam. (Abhidh-av-ṭ II 177)

"The teachers Elders Jotipāla and Dhammapāla rejected that and said as follows: 'Since form-frequenting beings do not have the senses of smell and taste, odours and tastes, although existent, do not carry out the task of an *āyatana* for them. Therefore they were not taken into consideration in the Pāḷi and it <simply> referred to five *āyatana*s and six *āyatana*s."

The passage is cited from Vi.bh-anut 120 and/or Vism-mht II 305. The latter is clearly quoting from the former (or its predecessor), as it precedes the passage with 'ettha vuccate':

Ettha vuccate: rūpāvacara-sattānaṃ ghāna-jivhâyatanâbhāvato vijjamānā pi gandha-rasā āyatana-kiccaṃ na karontī ti te anāmasitvā,

<sup>&</sup>lt;sup>35</sup> Vibh-mṭ 108f.: Aññattha hi aneke kalāpā saha uppajjanti. Brahmatta-bhāve pi hi aneka-gāvuta-ppamāṇe aneke kalāpā sah' uppajjantī ti tiṃsato adhikān' eva rūpāni honti gandha-rasâhārānaṃ paṭikkhittattā cakkhu-sota-vatthu-sattaka-jīvita-chakka-bhāve pi tesaṃ bahuttā. Aṭṭha-kathāyaṃ pana tattha pi cakkhu-sota-vatthu-dasakānaṃ jīvita-navakassa ca uppatti vuttā. cp. Sacc 67.

 $<sup>^{36}</sup>$  The difference is because sounds (and sensory discriminations) only arise subsequent to the moment of rebirth.

Pāḷiyaṃ 'pañcâyatanāni pātubhavantī' ti, 'cha āyatanānī' ti ca ādi vuttam.

#### c) the sense of smell

The next passage follows after the long citation of the views of  $\bar{A}$ nanda referred to above. Abhidh-av 756–7 (752) allows a minimum of thirty  $r\bar{u}pas$  for  $k\bar{a}m\hat{a}vacara$  beings who are apparitionally born.  $\bar{A}$ nanda (Vibh-mṭ 109 to Vibh-a 162f.; cp. Sacc 65) denies the possibility of a rebirth without the sense of smell ( $gh\bar{a}n\hat{a}yatana$ ) for those who are apparitionally reborn and those who are born from warm moisture (samseda), on the basis of Vibh 411f.  $\bar{A}$ nanda also cites the Yamaka as support for the position that everything applies equally to the three senses of touch, smell and taste. The Abhidhamma Commentary in fact only refers to the apparitionally reborn and those who are born from warm moisture when it gives the maximum figure and leaves that to be understood when it gives the minimum figure. So the  $Anut\bar{t}k\bar{a}$  is able to understand the minimum as applying only to those who are born from warm moisture. It is precisely this view which is ascribed to Jotipāla:

Jotipāla-ttherâdayo pana: "saṃseda-jassa 'jacc-andha-badhira-aghānaka-na-puṃsakassa jivhā-kāya-vatthu-dasakānaṃ vasena timsa-rūpāni uppajjantī ti (Vibh-a 162) vuttam, na opapātikassa.<sup>37</sup>

"But the Elder Jotipāla and others <said as follows>: it was said <in the *Vibhanga commentary*> that 'thirty *rūpas* arise i.e. the decads of tongue, body and <heart>-base for those born blind and deaf, lacking the sense of smell and gender' who are moisture-born; <it does> not <say that> for those who are apparitionally reborn."

That others did understand the *Abhidhamma Commentary* in the way it is taken by  $\bar{A}$ nanda is clear from the fact that the *Anuţīkā* goes on to reject the claim of some that the old Sinhalese commentary specifically mentions that some of the apparitionally reborn are born blind, etc.<sup>38</sup> That, it says, is a scribal error ( $pam\bar{a}da-p\bar{a}tha$ ).

<sup>&</sup>lt;sup>37</sup> Abhidh-av-t II 181. This is the position of the *Anuṭīkā*, which goes on to cite Yam-a 76 in support: Vibh-anut 123 ≠ Vism-mht II 308: *Samseda-jass'eva ca jacc-andha-badhira-aghānaka-na-puṃsakassa jivhā-kāya-vatthu-dasakānaṃ vasena tiṃsa rūpāni uppajjantī ti vuttaṃ, na opapātikassā ti ayam ettha Aṭṭha-kathāya adhippāyo.* 

<sup>&</sup>lt;sup>38</sup> Ye pana 'opapātikassa jacc-andha- ... pe ... uppajjantī ti Mahā-aṭṭhakathāyaṃ vuttan' ti vadanti, tam na gahetabbaṃ.

Part of the problem here lies in the fact that the Vibhanga does not refer to those who are born from warm moisture in its account, nor to the egg-born. The only minimum it offers is that of the womb-born who at the time of conception have only the mind sense (manâyatana). The issue here of course is twofold. Can beings in such rebirths as the peta realm be deficient in the sense of smell? And can very small creatures have only the three senses of mind, taste and touch? What must underlie this is the tendency in some Indian thought to classify beings as 'onesensed', 'two-sensed' and so on. 'One-sensed beings', i.e. plants, are generally excluded in early Buddhism. as they were not conceived of as having minds or being subject to rebirth.39 'Two-sensed beings' correspond in abhidhamma terms to those with only the senses of taste and touch (as well as mind), i.e. everything with a body has touch and all creatures that eat have taste. It would also be possible for them to have been conceived of as existing, like plants, outside the process of rebirth.

To sum up, the *Vibhanga* does not concern itself with small animals and does not allow the possibility of rebirth as a peta, etc. without the three minimal physical senses of touch, taste and smell. The commentary appears to accept that some small animals and some petas, etc. lack the sense of smell. Ānanda denies that any of these lack that sense. The  $Anut\bar{t}k\bar{a}$  and many subsequent writers take the intermediate position that some small animals lack the sense of smell, but there is no possibility of rebirth as a peta, etc. without the three minimal physical senses of touch, taste and smell.

At all events, there seems no doubt that it is the extant  $Anut\bar{i}k\bar{a}$  (or a predecessor) that is meant by 'Jotipāla' in this case.

#### d) the thiti-khana

That  $\bar{A}$ nanda, the author of the  $M\bar{u}lat\bar{t}k\bar{a}$ , rejected the moment of presence and taught only the moments of arising and break-up is well-known. The controversy on this has recently been discussed in detail by Wan Doo Kim.<sup>40</sup> So I will not address it here, but the relevant portions of the  $M\bar{u}lat\bar{t}k\bar{a}$  and Abhidh-av-t are given in Appendix A in passages 3 and 4. It suffices to notice that the rejected view is that of  $\bar{A}$ nanda. There is no corresponding passage in the  $Mah\bar{a}t\bar{t}k\bar{a}$ ; so the source of the view

<sup>&</sup>lt;sup>39</sup> But see: Schmithausen 1991.

<sup>&</sup>lt;sup>40</sup> Kim 1999, especially pp. 188–195.

ascribed to Jotipāla and Dhammapāla may be two different *Anuṭīkās*, i.e. the extant work of Dhammapāla and an earlier work of Jotipāla.<sup>41</sup>

#### e) nirutti-paṭisambhidā

In Sumangala's discussion prior to his final mention of Jotipāla, we find:

nirutti māgadhā-bhāsā, atthato nāma-sammutī ti.

This is part of a treatment of the four *paṭisambhidā/pratisaṃvid*.<sup>42</sup> Five verses of this are separately cited (without attribution) in Vism-sn III 1034–39 (to Vism 440–42):

Arthe dharme niruktau ca taj-jñānesu ca tatra tu kramād bhedam gatās saṃkhyā catasraḥ pratisaṃvidaḥ. (5) Pratyayotpanna-vāg-artha-mukti-pāka-kriyā-vaśāt pañcângo 'rthaḥ; punaḥ kāryajñāpyaprāpya iti tridhā. (6) Dharmaḥ pratyaya-vān mārgaḥ śuklaṃ kṛṣṇañ ca pañcadhā; sa punaḥ kārako hetur jñāpakaḥ prāpakas tridhā. (7) Niruktir Māgadhī-bhāṣā sā cârthān nāma-saṃvṛtiḥ; keci dhvāna iti prāhur vijñapty-ākāra-saṃyutaḥ. (8) Lābhas tāsām asammohāc chaikṣâśaikṣa-pathaḥ kṣaṇe; prayoge 'rthādim ālambya varttanatas tu sāśravaḥ. (9)43

Tenâhu Porāṇā:

Lābho tāsam asammohā sekhâsekha-patha-kkhane; attha-paññā yathālambā sā dvidhâññā tu sāsavā ti (pāda b: C° 1961: °phala-kkhane)

The second line must mean:

<sup>&</sup>lt;sup>41</sup> Sumangala does not give the *keci-vāda* which immediately precedes in Vibh-anut 30: *ettha ca keci: "Yathābhūto dhammo uppajjati, kiṃ tathā-bhūto va bhijjati, udāhu aññathā-bhūto? Yadi tathā-bhūto va bhijjati, na jaratāya sambhavo. Atha aññathā-bhūto, añño eva so ti sabbathā pi ṭhiti-kkhaṇassa abhāvo yevā" ti vadanti. This could mean he is quoting from the earlier Ānuṭīkā.* 

<sup>&</sup>lt;sup>42</sup> On the *paṭisambhidā/pratisaṃvid*, see now: Pagel 1995, pp. 272ff.; 359ff. Also, Samtani 1971, pp. 53f.; 115ff.; 275 (refs); Griffiths 1994, p. 116n; Dessein 1999, Vol. I pp. 433–6.

 $<sup>^{43}</sup>$  In the last two  $p\bar{a}das$ , prayoge is perhaps being used in place of pabheda(gata) in the Pali works;  $s\bar{a}\acute{s}rava$  is employed to show that it is not transcendent  $(an\bar{a}\acute{s}rava=lokuttara)$ . (See below note 49) The second line has been recast in a Pali version of this stanza (cited Abhidh-av-t II 303):

<sup>&</sup>quot;But understanding of *attha* can have *<nibbāna* as its> object; *<*so> it is of two kinds (i.e. subject to  $\bar{a}savas$ ) or not subject to  $\bar{a}savas$ ); the other *<*three Discriminations> are subject to  $\bar{a}savas$ ."

textual notes: the following readings in Vism-sn II (C<sup>e</sup> 1954) have been amended: 5b *tajñānesu*; 6c. *kāryya*; 7a *dharma*.

For ease of reference I add a continuous numbering of all the Sanskrit verses which I attribute to Jotipāla. The first two *pāda*s of stanza six correspond to the line cited in Abhidh-av-ţ. I translate:

"The Discriminations number four <and> are divided in sequence into the knowledges of *artha*, *dharma*, *nirukti* and the knowledge of those <knowledges>. (5)

Artha is fivefold by way of a) what has arisen by a condition; b) the meaning of speech; c) liberation; d) resultant  $\langle citta \rangle$ ; e)  $kriy\bar{a} \langle citta \rangle$ .<sup>44</sup> Furthermore, it has three kinds because it may be the result (artha) which follows from doing, the meaning (artha) which has to be made known or the goal (artha) which must be attained. (6)<sup>45</sup>

Dharma is fivefold: a) condition; b) speech; c) the path; d) the white and e) the black. Furthermore it is the three kinds of cause: that which does, that which finds out and that which attains. (7)<sup>46</sup>

Nirukti is the Māgadhī language; this means that it is what causes one to understand names.<sup>47</sup> Some say that it is sound joined with a form of communication. (8)<sup>48</sup>

The obtaining of the <Discriminations> is <nothing but> the śaiksa or the aśaiksa path because it is due to absence of

<sup>&</sup>lt;sup>44</sup> Vibh-a 386.

<sup>&</sup>lt;sup>45</sup> Vism-mht II 81: Bhāsitam pi hi avabodhana-vasena attham pavatteti. Maggo pana nibbānam pāpetī ti tasmim pacchimo attho; nibbānam hi pattabbo attho, bhāsit'-attho ñāpetabbo attho, itaro nibbattetabbo attho ti evam tividho hoti.

<sup>&</sup>lt;sup>46</sup>Compare: Vism-mhţII81f.....evampāḷiyaṃvuttānaṃevavasenapañcadhammāveditabbā. Tatthamaggosampāpako, bhāsitaṃñāpako, itaraṃnibbattakoti evaṃti-vidhohetuveditabbo.

<sup>&</sup>lt;sup>47</sup> I translate *nāma-saṃvṛti* as the intended equivalent of *nāma-paññatti*; cf. Vism-mhṭ II 82 ≠ Abhidh-av-ṭ II 301: *Sā panâyaṃ sabhāva-nirutti Māgadha-bhāsā. Atthato nāma-paññattī ti ācariyā. Apare pana yadi sabhāva-nirutti paññatti-sabhāvā, evaṃ sati paññatti abhilapitabbā, na vacanan ti āpajjati; cf. Vibh-a 387f.* 

<sup>&</sup>lt;sup>48</sup> Nett-a 121: Tattha idam dukkhan ti ayam paññattī ti kakkhala-phusanâdi-sa-bhāve rūpârūpa-dhamme atītâdi-vasena aneka-bheda-bhinne abhinditvā pīļana-sankhata-santāpa-viparinām'-aṭṭhatā-sāmaññena yā kucchita-bhāvâdi-mukhena ekajjham gahanassa kāraṇa-bhūtā paññatti. Kā pana sā ti? Nāma-paññatti-nibandhanā taj-jā paññatti. Viññatti-vikāra-sahito saddo evā ti apare. Sacc 374: saviññatti-vikāro hi saddo sacca-dvayassa tu; cf. Sadd II 379; Pm-vn 1121.

confusion at that moment. But <a Discrimination> is subject to  $\bar{a}\acute{s}rava$ s in operation (prayoge) because it occurs taking artha and the rest as its object.(9)"<sup>49</sup>

The second and third verses give a fivefold analysis of *attha* and *dhamma*. This corresponds closely to the similar fivefold account of the four *paṭisambhidā* given at Vibh-a 386f. and Vism 440f. These analyses are completely dependent upon the canonical *abhidhamma* account given in the *Vibhaṅga* (Vibh 293ff.). They are quite different to anything at present known from the extant non-Theravādin *abhidharma* traditions. So these verses, although in Sanskrit, can only derive from the Buddhist traditions of Ceylon or a closely related school.

To spell this out a little. The *Vibhanga* begins with a *Suttanta-bhājanīya* treatment. The four *paṭisambhidā*s are applied to the four truths, to the relationship between *hetu* and *phala*, to the relationship between existent *dhammas* and the *dhammas* by which they are produced and to conditioned origination. This initial approach is given some primacy by the commentators, since they explain *attha* and *dhamma* as meaning in short (*saṅkhepato*) causal result and condition (*paccaya*) respectively. The analysis in detail (*pabhedato*) is precisely the fivefold analysis with which we are concerned here, but in fact the first of the five is rather close in sense to the 'meaning in short': *attha* is whatever is produced (*samuppanna*) by a condition, while dhamma is whatever cause produces (*nibbattaka*) a result.

The distinction between *attha* and *dhamma* is well-grounded in *suttanta* usage, but is here applied in a manner which is not always clearly recognized by those who have translated the earlier Buddhist texts. Of course, it is well-known that *attha* can mean result, aim or goal, but it is less often acknowledged that *dhamma* has a strongly

<sup>&</sup>lt;sup>49</sup> The position of verse 9 in Vism-sn is such that it cannot have been understood as referring to the fourth Discrimination; so presumably one or more stanzas have been omitted. In the first line *lābhas* corresponds to *adhigama* (Vibh-a 390) which is glossed as *paṭilābha* by Vism-mṭ 193. That is explained by the *Anuṭīkā* as follows: *paṭilābho nāma pubbayoga-sampattiyā atthâdi-visayassa sammohassa samucchindanaṃ*; *taṃ pana magga-kiccam evā ti āha: so lokuttaro ti* (Vibh-anuṭ 193). Obtaining the four *paṭisambhidā*s involves some kind of complete removal of delusion and hence must occur at the moment of the path itself. That can only be transcendent. For the *Vibhaṅga* only *attha-paṭisambhidā* can be transcendent; so the commentaries carefully distinguish the *adhigama* of the Discriminations from their separate operation as different varieties (*pabheda*) of knowledge. The latter (according to the *Mūlaṭīkā*) is invariably *kāmâvacara*. This is close to the position of the *Vibhaṅga*, which specifies that (with the above exception for *attha-paṭisambhidā*) the four Discriminations occur only in the eight *citt'-uppādas* associated with knowledge.

causative sense.<sup>50</sup> Such an interpretation of the difference between *attha* and *dhamma* has deep roots in *Suttanta* teachings, but it does not seem entirely appropriate in the context of the four *paṭisambhidā*. It is perhaps placed first because of its relationship to subsequent *abhidhamma* interpretations.

The *Vibhanga* concludes its *Suttanta-bhājanīya* treatment with an explanation of the *paṭisambhidā* in terms of the ninefold *dhamma* — the so-called list of the nine Angas. Here *dhamma* refers to the texts or to the teachings prescribed in the texts, while *attha* is the meaning of what is said. This too is a distinction which derives from earlier literature and seems to fit well with the senses of the last two *paṭisambhidās*.

The following Abhidhamma-bhājanīya section classifies dhammas in the manner of the Cittuppāda-kanda of the Dhamma-sangaha. Knowledge of those which are skilful or unskilful is explained as dhamma-paṭisambhidā, while knowledge of those which are undeclared (avyākata) is explained as attha-patisambhidā. This gives us two more of the five kinds of *dhamma*: skilful (= white) and unskilful (= black). Similarly, since the undeclared is divided into resultant and  $kiriy\bar{a}$ , two more of the five kinds of attha are indicated. This leaves only the explanations of *nibbāna* (= *mukti*) as *attha* and the noble path as dhamma, but these really follow from the preceding, since the ariyamagga is a kind of skilful and nibbāna is one kind of undeclared, conclusions which are already reached in the text of the Vibhanga itself. In effect, the material given in the *Abhidhamma-bhājanīya* amounts to a specifically abhidhamma analysis in terms of cause and result. So these last three senses of attha and dhamma amount to particular applications of the first.

It is the fourth of these stanzas (Jotipāla v. 8) with which we are most concerned here. It refers to debates over the nature of the language used to describe the Buddha's teaching. The first view cited is that it is conventional in nature.<sup>51</sup> This is attributed to unnamed teachers.<sup>52</sup> Such a view is rejected in the *Abhidhamma Commentary* and by other *aṭṭhakathā* authors, perhaps even by Buddhaghosa himself.<sup>53</sup> These follow rather the position of the canonical *abhidhamma* writings, for which it is sound which is the object of *nirutti-paṭisambhidā* — in the more exact language of the later writers: sound accompanied by particular forms of <verbal> communication (*viññatti-vikāra*).

<sup>&</sup>lt;sup>50</sup> cf. Vibh-a 386: ... yasmā tam tam vidahati pavatteti c'eva pāpeti ca, tasmā dhammo ti vuccati i.e. dhamma is that which puts things in their proper place.

<sup>&</sup>lt;sup>51</sup> i.e. its object is a *paññatti*.

 $<sup>^{52}</sup>$  Vism-mhț II 82; Abhidh-av-ț II 301.

<sup>&</sup>lt;sup>53</sup> cf. Vibh-a 387; Paṭis-a I 5; Vism 433f.

According to the  $t\bar{t}k\bar{a}s$  some subsequent interpreters of this position understood it to mean that  $nirutti-patisambhid\bar{a}$  is operational in a mind door process which follows after the auditory process that apprehends the rele-vant sounds (nirutti-sadda). Sumangala attributes a detailed criticism of this position to Jotipāla ( $\bar{a}cariya-Jotip\bar{a}la-tther\hat{a}dayo$   $pan\hat{a}hu$ ). According to him Jotipāla and others argued that the possessor of the  $patisambhid\bar{a}$  is able to directly apprehend and understand the sounds of the true language ( $sabh\bar{a}va-nirutti$ ) at the mind door without any necessary intervention of the normal auditory process. This is supported by a comparison with the manner in which dibba-sota is understood to function.

This quotation is taken from the  $Mah\bar{a}t\bar{i}k\bar{a}$ , but no author is mentioned in that source. That it is a quotation in that work too is simply indicated by the use of ti at the end of the passage. Perhaps confused by his own earlier citation of the Sanskrit verses mentioned above (and these may well be the work of Jotipāla), Sumangala seems to have assumed that the quotation in the  $Mah\bar{a}t\bar{i}k\bar{a}$  (as so often) was from the  $Anut\bar{i}k\bar{a}$ . This is perhaps because he knew from other sources that it was indeed the position of Jotipāla. In fact, it is taken verbatim from the  $M\bar{u}lat\bar{i}k\bar{a}$ . In this particular case the author(s) of the  $Mah\bar{a}t\bar{i}k\bar{a}$  and the extant  $Anut\bar{i}k\bar{a}$  simply accept the view of  $\bar{A}$ nanda in full; indeed the  $Mah\bar{a}t\bar{i}k\bar{a}$  does not even cite the additional points made in the  $Anut\bar{i}k\bar{a}$ .

#### 4. The authorship of the Anuţīkā

As we have seen, at least four of these five items from Abhidh-av-ṭ have an explicit connexion to the rejection of the views of Ānandâcariya. It is of course well-known that, on the one hand, Ānanda as the  $T\bar{t}k\bar{a}-k\bar{a}ra$  par excellence is highly respected in the later abhidhamma literature, yet on the other hand he is quite often criticized. It is unusual in the Pali commentarial tradition to meet frequent criticism of a revered figure, but the reason for it is clear enough in this case. 55

Ānanda's  $M\bar{u}lat\bar{t}k\bar{a}$  to the Abhidhamma Commentary is an innovative and often brilliant work which influences the later abhidhamma  $t\bar{t}k\bar{a}s$  enormously. This is quite well demonstrated in some of the passages I have cited above. Influential though it was, the fact that it is on occasion criticised is clearly due in part to the fact that criticisms are embedded in both the  $Anut\bar{t}k\bar{a}$  and the even more influential  $Mah\bar{a}t\bar{t}k\bar{a}$  to the

<sup>&</sup>lt;sup>54</sup> See Appendix A passage 5.

<sup>&</sup>lt;sup>55</sup> He is often criticized in *Vinaya* literature too, but the reasons for that are less obvious. Note that in Vjb it is explicitly stated that whenever *ācariya* is referred to tout court, it means Ānanda. This might mean that Vajirabuddhi was a senior pupil of Ānanda.

*Visuddhimagga*. Sumangala is directly or indirectly familiar with these works and they are therefore almost certain to be the source of the criticisms of the views of Ānanda which he gives.<sup>56</sup> It is clear that when Sumangala mentions Dhammapāla, he is nearly always referring to Vism-mhṭ; so it cannot be that to which he is referring when he mentions Jotipāla in these four cases. There is then a *prima facie* case that he considered Jotipāla to be the author of the *Anutīkā*.<sup>57</sup>

This possibility gains considerable support from the mention at Vism-sn 1378 of a *Jotiya-anuṭīkā* from which the following two passages are cited:

tesam matena uddhacca-cetanāya gahaņe payojanam vicāretabbam eva patisandhiyā pi paccaya-bhāvassa vuttattā.

#### and then:

Dvādasâkusala-cetanā-bhedo ti na-y-idam samāsa-padam, sandhi-vasena pan'etam vuttam. Dvādasā ti ca bhumm'-atthe paccatta-vacanam. Dvādasasu akusala-cetanāsu, ekādasâkusala-cetanā-ppabhedo cā ti attho veditabbo. Evam hi sati, n'atth' ettha kiñci vicāretabbam

These passages are extracted (in reverse order) from Vibh-anut 126:

Dvādasâkusala-cetanā-bhedo ti na-y-idam samāsa-padam, sandhi-vasena pan'etam vuttam. Dvādasā ti ca bhumm'-atthe paccatta-vacanam, dvādasasu akusala-cetanāsu. Akusala-cetanā-bhedo ti ekādasâkusala-cetanā-pabhedo, dvādasâkusala-cetanā-pabhedo cā ti attho veditabbo. evañ hi sati na ettha kiñci vicāretabbam heṭṭhā vitthāritattā. Keci pana "dvādasâkusala-cetanā-bhedo ti idam 'channam pavatte' ti-ādinā yojetabban" ti vadanti; tesam matena uddhacca-cetanāya gahaṇe payojanam vicāretabbam eva paṭisandhiyā pi paccaya-bhāvassa vuttattā.

Portions of anut which are missing in Vism-sn are underlined, but the differences do not appear significant. What is being discussed is Vibh-

<sup>&</sup>lt;sup>56</sup> Since Sumangala refers to a previously existing  $S\bar{t}hala$ -samvannan $\bar{a}$  to Abhidh-av, it is also possible that he took them over from there. This would still leave the  $Anut\bar{t}k\bar{a}$  or its predecessor as the likely ultimate source.

<sup>&</sup>lt;sup>57</sup> A passage in which Sumangala refers to Dhammapāla (Abhidh-s-mhṭ 118 Ì Abhidhav-ṭ II 56) is cited in VRI as referring to Dhs-anuṭ 140. In fact, it more probably relates to Vism-mhṭ (to Vism 458).

mṭ 113:  $dv\bar{a}das\hat{a}kusala-cetan\bar{a}$ -bhedo ti ettha uddhacca-sahagatā  $kasm\bar{a}$  gahitā ti vicāretabbam etaṃ. So there can be no doubt that this passage is from a subcommentary on that work. It may then very plausibly be supposed that Vism-sn is referring here to the  $Anut\bar{t}k\bar{a}$  as 'the  $Anut\bar{t}k\bar{a}$  belonging to Joti<pāla>.' Two possibilities emerge: either the extant  $Anut\bar{t}k\bar{a}$  is the work of Jotipāla, not Dhammapāla, or the quotation is from an earlier (no longer extant)  $Anut\bar{t}k\bar{a}$  by Jotipāla which would be the source for some of the content in the later work by Dhammapāla.

## Alternative A: a single Anutīkā, the work of Jotipāla

In the former case the evidence for Dhammapāla's authorship of this work needs to be considered. In many later sources beginning with the Gandhavamsa the  $Anut\bar{\imath}k\bar{a}$  is specifically attributed to Dhammapāla, but at an earlier period Aggavamsa simply refers to the author of the  $Anut\bar{\imath}k\bar{a}$  to the Abhidhamma, without giving any name. The account in the Saddhamma-sangaha is confused and unhelpful, but at all events the only  $t\bar{\imath}k\bar{a}$  it attributes to Dhammapāla is Vism-mht. Given the similarity at times of the  $Anut\bar{\imath}k\bar{a}$  and Dhammapāla's  $Mah\bar{a}t\bar{\imath}k\bar{a}$  to Vism, it is not really surprising that they could have been thought to be by the same author. The date of the Gandhavamsa is uncertain; it can, however, be said that authorship of the  $Anut\bar{\imath}k\bar{a}$  does not appear to be explicitly ascribed to Dhammapāla in any source which is definitely older than the  $17^{th}$  century.

The earliest passage bearing on this which I have so far been able to find is in Sāriputta's tīkā to the Vinaya: khīṇâsavānaṃ pana brahmānañ ca sambhavo natthī ti ācariya-Dhammapāla-ttherena vuttaṃ (Sp-ṭ II 301). This appears to be citing Dhs-anuṭ 155: tathā hi khīṇâsavānaṃ brahmānañ ca sambhavo natthī ti. This is not quite conclusive, since passages derived from the Anuṭīkā are found in various of the ṭīkās attributed to Dhammapāla and not all of such ṭīkās are currently accessible. Even if it is accepted that Sāriputta believed that the Anuṭīkā was the work of Dhammapāla, this may simply have been an inference on his part or on the part of predecessors. It is certain that there is a strong tendency in Indian (and non-Indian) literature to ascribe works of unknown authorship to famous names. Buddhaghosa would not have been a possibility in this case; so the choice of Dhammapāla becomes almost inevitable.

<sup>&</sup>lt;sup>58</sup> Tenâha Abhidhammassa Anuṭīkā-kāro: "deva-saddo yathā kīṭā-vijigiṃsā-vohārajuti-gati-attho, evaṃ satti-abhitthava-kaman'-attho pi hoti dhātu-saddānaṃ anekatthabhāvato" ti ādi (Sadd II 476, quoting Patth-anut 223).

<sup>&</sup>lt;sup>59</sup> Saddh-s 60; 63.

<sup>60</sup> e.g. Ja-pt; Bv-t; Mp-pt.

If the ābhidhammika Sumangala has chosen to depart from his teacher on this matter, then it is far from certain that Sāriputta is right. Clearly, he must have had a reason for doing so. Given that he is rather well-read and knowledgeable in regard to abhidhamma, he is more likely to be correct than Sāriputta, who does not seem to have been interested in the canonical Abhidhamma literature or its commentarial exegesis. Most probably Sumangala had obtained access to manuscripts or literary sources which led him (and others) to believe that Jotipāla was the author of this work. These passages could possibly have been taken from an earlier commentary to the Abhidhammāvatāra. If so, it could be earlier than Sāriputta in date — conceivably much earlier. This would then be our earliest evidence for the authorship of the  $Anut\bar{k}\bar{a}$ . Another possibility is that a Sanskrit work about (or by) Jotipāla had become available. This would be particularly likely at the end of the long reign of Parākramabāhu, which would have provided opportunities to access manuscripts previously inaccessible. We could provisionally accept his authority on the matter and ascribe the  $Anut\bar{i}k\bar{a}$  to Jotipāla.

### Alternative B: two Anuțīkās, the work of Jotipāla and Dhammapāla

The second alternative assumes that the reference in Vism-sn to the Jotiya-anu $t\bar{t}k\bar{a}$  is specifically to distinguish it from the well-known work of Dhammapāla. In that case Sāriputta's citation of Vibh-anut as a work of Dhammapāla is correct and there would be no conflict with the information given by his pupil Sumangala, since the latter would be referring to this earlier  $Anut\bar{t}k\bar{a}$  when he mentions Jotipāla's criticisms of Ānanda.

In support of this possibility is the fact that the extant  $Anut\bar{\imath}k\bar{a}$  seems, as we have seen, to be on occasion referring to an earlier commentary on the  $M\bar{u}lat\bar{\imath}k\bar{a}$ . Against is only the fact that there is no clear reference to the existence of two  $Anut\bar{\imath}k\bar{a}$ s in the subsequent literature. So overall it is perhaps the more probable of the two alternatives.

## 5. Implications for the chronology of the $t\bar{t}k\bar{a}$ literature

Since the  $Ud\bar{a}na$  Commentary of Dhammapāla cites an  $Anut\bar{i}k\bar{a}$ , 61 the writing of the commentaries associated with the name of Dhammapāla

<sup>61</sup> Ud-a 94 = Nett-pt 67, referring to Vibh-anut 122f. (Nett-pt confirms that the reading is correct.) Note that at Spk-pt II 253, when the Atthasālinī-tīkā is referred to, it is unclear whether the reference is again to the Anutīkā: So kammassa vicitta-bhāvo taṇhā-vasena jāyatī ti veditabbo. Svâyam attho Atthasālinī-tīkāyam vibhāvito. The Abhidhamma-tīkā is referred to at Ps-pt II 87, meaning Pp-mt 35; at Ps-pt II 135, meaning Dhs-mt 137; at

cannot be earlier than the time of Jotipāla. This is equally true of the  $t\bar{t}k\bar{a}s$ , since at least some are written subsequently to some or all of the commentaries. So the direction of borrowing is clear. What is less clear is the date of the various works ascribed to Dhammapāla. One possibility is that he could be a contemporary figure. His commentaries would have been written earlier and would take little account of the new developments. Subsequently, inspired by the  $M\bar{u}lat\bar{t}k\bar{a}$  and  $Anut\bar{t}k\bar{a}$ , he would have written some of his major  $t\bar{t}k\bar{a}s$ , no doubt as a distinguished senior monk aided by his pupils. Equally possibly, he could have written both at some later date. A third possibility is that the author of Vism-mht is a later (even possibly a much later) figure than the author of the commentaries. I hope to return to the question of the dating of Dhammapāla on a subsequent occasion.

The Cūlavamsa refers to Jotipāla as a mahā-thera when he defeats the Mahāyāna in debate in the reign of Aggabodhi I (A.D. 571–604),<sup>62</sup> but we cannot be sure that the title is not being applied retrospectively. Still, he can hardly have been much younger than thirty years of age and would probably have been older than that. If the debate took place at the end of the reign, then that would in theory allow him to be born as late as c. 575. This would make him only thirty-nine at the death of Aggabodhi II in 614 A.D. This does not seem compatible with so senior a role during the reign of that king. It seems more likely that either the debate took place earlier in the reign of Aggabodhi I or that he was older than that. At the other extreme, if the debate took place at the beginning of the reign and he was much older, say fifty years old, he could have been born as early as c. 500 A.D. But that is rather unlikely because it would make him 104 at the accession of Aggabodhi II. More probably he was not above sixty years of age at that point and hence could not have been born earlier than c. 545. So if we take his date of birth as c. 560 A.D. we are unlikely to be much more than a decade out.

Since he would probably not have written much before the age of thirty or after the age of sixty, this suggests that Jotipāla's  $Anut\bar{i}k\bar{a}$  would have been written between c. 590 and c. 620 A.D. But there is no mention of him in the  $C\bar{u}lavamsa$  after 614 A.D.; so it is probably best to narrow the range slightly. The  $M\bar{u}lat\bar{i}k\bar{a}$  can therefore be no later than the early years of the seventh century and the commentaries of Dhammapāla<sup>63</sup> no earlier. Assuming that there was at least some

Spk-pṭ I 221, meaning Dhs-anuṭ 19f. Note that the *Suttanta ṭīkās* refer to Vism-mhṭ, but not *vice versa*.

<sup>&</sup>lt;sup>62</sup> Geiger gives the slightly earlier dates of A.D. 568–601 for this king and 601–611 for his successor. Here and elsewhere, I follow the list of regnal years given in De Silva 1981, p. 567.

 $<sup>^{63}</sup>$  Strictly, this applies to Ud-a. For the rest, it will depend on whether they were written before or after Ud-a, etc. Nett-a at least incorporates some  $t\bar{t}k\bar{d}$  material.

gap between the writing of the  $M\bar{u}lat\bar{i}k\bar{a}$  and the (first)  $Anut\bar{i}k\bar{a}$ , a sixth century date for the work of Ānanda seems highly probable.

#### 6. Conclusions

- (a) Given the references to Jotipāla in Abhidh-av-ṭ and the quoted passages ascribed to the *Jotiya-anuṭīkā* in Vism-sn, there can be little doubt that in the thirteenth century both Sumaṅgala and Paṇḍita-Parākramabāhu (or their sources) attributed an *Anuṭīkā* to a Jotipāla.
- (b) Since both refer to Jotipāla with clear respect, there seems also every reason to suppose that the Jotipāla in question was understood by them to be the Jotipāla known to us from the *Cūlavaṃsa* and *Nikāyasaṃgraha*.
- (c) Vism-sn cites Sanskrit verses attributed to Jotipāla and others whose author it does not name, but one of which is associated (in a Pali version) with Jotipāla by Sumaṅgala. So there can be little doubt that the same Jotipāla was believed to have also written in Sanskrit.
- (d) There does not seem to be any reason to question the accuracy of Sumangala's information. He wrote at a time when Sinhalese scholars would have been fluent in Sanskrit and still had access to a considerable body of literature in Sinhalese (now lost) as well as to the traditions of (still active) Buddhist centres in the Tamil country. Especially as regards *abhidhamma* literature, in which he was clearly very well-read, we are unlikely to find any more reliable authority. Therefore:
- (e) Ānanda, the author of the  $M\bar{u}lat\bar{l}k\bar{a}$ , wrote during the sixth century A.D.
- (f) The earliest possible *floruit* for Dhammapāla is c. 600 A.D.

#### APPENDIX A

## Related passages in tīkā literature

# 1. Vibh-mt 95 (to Vibh-a 145):

Attha-kathāyam abhiññā-cetanā na gahitā viññānassa paccayo na hotī ti. Kasmā pana na hoti? Nanu sā pi kusalā vipākadhammā cā ti? Saccam, anupacchinna-tanhā-vijjāmāne pana santāne sa-vyāpāra-ppavattiyā tassā kusalatā vipāka-dhammatā ca vuttā, na vipāk'-uppādanena; sā pana vipākam uppādayantī rūpāvacaram eva uppādeyya. Na hi añña-bhūmikam kammam añña-bhūmikam vipākam uppādetī ti attanā sadisârammanañ ca ti-tthānikam tam uppādeyya Citt'-uppāda-kande rūpâvacaravipākassa kamma-sadisârammaņass' eva vuttattā, na ca rūpâvacara-vipāko parittâdi-ārammaņo atthi, abhiññā-cetanā ca parittâdi-ārammanā va hoti. Tasmā vipākam na uppādetī ti viññāyati. Kasinesu ca uppāditassa catuttha-jjhāna-samādhissa ānisamsa-bhūtā abhiññā. Yathâha: "so evam samāhite citte" (D I 76f.; M I 22f., etc.) ti-ādi. Tasmā samādhi-phala-sadisā sā, na ca phalam detī ti dāna-sīlânisamso tasmim bhave paccaya-lābho viya sā pi vipākam na uppādeti.

#### 2. Vibh-anut 101 has a long comment on similar lines to Vism-mht:

Evam pi yadi vipāka-dhammā abhiññā-cetanā, katham avipākā ti? Asambhavato ti. Tam asambhavam dassetum: sā panā ti-ādi vuttam. Abhiññā-cetanā hi yadi vipākam uppādeyya, sabhūmikam vā uppādeyya añña-bhūmikam vā. Tattha añña-bhūmikassa tāva uppādanam ayuttam paccayâbhāvato, tathā adassanato ca. Tenâha: na hī ti-ādi. Sa-bhūmikam na-vattabbârammanam vā uppādeyya parittâdi-ārammanam vā, tesu attano kammasamānârammanatāya rūpâvacara-vipākassa dassitattā, parittâdiārammanattā ca abhiññā-cetanāya na-vattabbârammanam uppādeyya. Tathā ekanta-na-vattabbârammanattā rūpâvacara-vipākassa parittâdi-ārammaṇañ ca na uppādeyyā ti ayam asambhavo. ... Svâyam asambhavo parittâdiārammaņāya abhiññā-cetanāya vipākâbhāvam sādheti, na na-vattabbârammaṇāya; na-vattabbârammaṇā pi hi sā atthī ti na vyāpī ti vipākânuppādane tassā aññam kāranam dassetum, kasinesu cā ti-ādim āha. Samādhi-vijambhana-bhūtā abhiññā samādhissa ānisamsa-mattan ti samādhi-phala-sadisā ti vuttam. Tassa tassa adhitthāna-vikubbana-dibba-sadda-savanâdikassa

yad-icchitassa kiccassa nipphādana-mattaṃ pana abhiññācetanā ...<sup>64</sup>

#### 3. Vibh-mt 22f.:

Yo c'ettha cittassa ṭhiti-kkhaṇo vutto, so ca atthi n'atthī ti vicāretabbo. Citta-yamake hi "uppannam uppajjamānan ti? bhanga-kkhane uppannam, no ca uppajjamānan" ti ettakam eva vuttam; na vuttam "thiti-kkhane bhanga-kkhane cā" ti. Tathā "nuppajjamānam nuppannan ti? bhanga-kkhane nuppajjamānam, no ca nuppannan" ti ettakam eva vuttam; na vuttam: "thiti-kkhane bhanga-kkhane cā" ti. Evam "na niruddham na nirujjhamānam, na nirujjhamānam na niruddhan" ti etesam paripunna-vissajjane "uppāda-kkhane anāgatañ cā" ti vatvā, "ṭhiti-kkhaṇe" ti avacanaṃ, atikkanta-kāla-vāre ca "bhanga-kkhane cittam uppāda-kkhanam vītikkantan" ti vatvā, "thiti-kkhane" ti avacanam thiti-kkhanâbhāvam cittassa dīpeti. Suttesu pi hi "thitassa aññathattam paññāyatī" (S III 37-40; A I 152) ti tass'eva ekassa aññathattâbhāvato "yassā aññathattam paññāyati, sā santati-thitī" ti na na sakkā vattun ti, vijjamānam vā khana-dvaya-samangim thitan ti.

This is partially translated by Kim (Kim 1999, p. 190f. and p. 191f., n. 418).

## 4. Sumangala:

Ācariya-Jotipāla-Dhammapāla-ttherānam pan'etam na kkhamati. Tehi "eka-dhammâdhāra-bhāvepi uppāda-nirodhānam añño uppāda-kkhaṇo, añño nirodha-kkhaṇo; uppādâvatthañ hi upādāya uppāda-kkhaṇo, nirodhâvatthaṃ upādāya nirodha-kkhaṇo. Uppādâvatthāya ca bhinnā nirodhâvatthā ti ekasmiṃ yeva ca sabhāva-dhamme yathā icchitabbā, aññathā añño

<sup>&</sup>lt;sup>64</sup> A dissenting view is then discussed: Keci pana: "samāna-bhūmikato āsevana-lābhena balavantāni jhānānī ti tāni vipākam denti samāpatti-bhāvato, abhiññā pana sati pi jhāna-bhāve tad-abhāvato tasmim tasmim ārammaņe āgantukā vā ti dubbalā, tasmā vipākam na detī" ti vadanti. Tam akāraṇam punap-punam parikamma-vasena abhiññāya pi vasī-bhāva-sabbhāvato. Yam pana vadanti: "pādaka-jjhāne attanā samāna-sabhāvehi javanehi laddhâsevane sammad eva vasī-bhāva-ppatte parisuddhatâdi-aṭih'-aṅga-samannāgamena sâtisaye jāte abhiññā nibbattanti, tāsañ ca catuttha-jjhānikattā catuttha-jjhāna-bhūmiko eva vipāko nibbatteyya, so ca yathā-vutta-guṇena balavatā pādaka-jjhānen'eva kat'-okāsena sijjhatī ti anokāsatāya abhiññā na vipākam detī ti. Tam pi akāraṇam avipāka-bhāvato tāsam; sati hi vipāka-dāyi-bhāve vipākassa anokāsa-codanā yuttā, avipākatā ca tāsam vutta-nayā eva; cf. Dhs-anut 32.

yeva dhammo uppajjati, añño nirujjhatī ti āpajjeyya, evam nirodhâvatthāya viya nirodhâbhimukhâvatthāya pi bhavitabbam, sā ṭhiti jaratā cā" ti sampaṭicchitabbam etam.

This is taken from Vibh-anut 30 (cf. Abhidh-s-mht 107); cf. also Spk-pt I 75; II 217f.; Vism-mht I 343, etc. It is translated by Kim (Kim 1999, p. 192, n. 421).

## 5. Vibh-mt 192 (cited Vism-mht II 83 ≠ Abhidh-av-t II 302)

"nirutti-paṭisambhidā paccuppannârammaṇā" vacanam saddam gahetvā pacchā jānanam sandhāya vuttan ti. Evam pana aññasmim paccuppannârammane aññam paccuppannârammanan ti vuttan ti āpajjati. Yathā pana dibba-sota-ñāṇam manussâmanussâdi-sadda-ppabhedanicchayassa paccaya-bhūtam tam-tam-sadda-vibhāvakam, evam sabhāvâsabhāva-nirutti-nicchayassa paccaya-bhūtam paccuppanna-sabhāva-nirutti-saddârammanam vibhāvaka-ñānam nirutti-patisambhidā ti vuccamāne na pālivirodho hoti. Tam sabhāva-niruttim saddam ārammanam katvā paccavekkhantassā ti ca paccuppanna-saddârammaṇam paccavekkhanam pavattayantassā ti na na sakkā vattum. Tam pi hi ñānam sabhāva-niruttim vibhāventam yeva tam-tam-saddatam-tam-pabheda-nicchaya-hetuttā paccavekkhanânantaram niruttim bhindantam paṭivijjhantam eva uppajjatī ti ca pabhedagatam pi hotī ti.

**APPENDIX B** Further citations of Jotipāla in Abhidh-av-ṭ

item no.	ţīkā page	debated issue	exact name used
(f)	I 258	can those reborn with causeless <i>paṭisandhi</i> experience three-caused resultants ( <i>tad-ārammaṇa</i> )?	Ācariya-Jotipāla- tthero
(g)	I 355	as previous	Ācariya-Jotipāla- ttherassa adhippāyena
(h)	II 120	does the eye see?	Ācariya-Jotipāla- ttherenâpi hi iminā va adhippāyena idam vuttam.
(I)	II 174	there are ten <i>rūpas</i> in a <i>kalāpa</i> , counting both <i>nipphanna-rūpa</i> and <i>anipphanna-rūpa</i>	Ācariya-Jotipāla- ttherena pana: ti vatvā, puna taṃ- samatthan'-atthaṃ idaṃ vuttaṃ:

f) and g) three-caused resultants

# Abhidh-av-ț I 258:

Ācariya-Jotipāla-tthero pana: sahetukan ti avisesena vuttattā ahetukānam pi ti-hetuka-tad-ārammaṇaṃ icchati. Vuttañ hi tena: sa-hetukan ti avises'opadesena du-hetukaṃ, ti-hetukañ ca gahetabbaṃ; tathā hi **Aṭṭhakathāyaṃ** ahetukassâpi ti-hetuka-tad-ārammaṇam abhihitaṃ. Yañ carahi **Attha-samāse** ahetukānaṃ ti-hetuka-phalāni detī ti vuttaṃ, taṃ kathaṃ? So eva pucchitabbo, yo tassa kattā ti. **Apare** pana: mūla-sandhiyā jaļattā tassa ti-hetuka-tad-ālambaṇaṃ na labbhati yevā ti vadanti. (cf. Pm-vn 271 cited below note 68; and Dhs-a 416; Vibh-a 15)

"But the teacher Elder Jotipāla prescribes a three-caused *tadārammaṇa* even for causeless <beings> because 'caused' is given <in *Paṭṭḥāṇa*> without specifying. For he said the following: since 'caused' does not specify <either two-caused or three-caused>, it should be taken as two-caused and three-caused; for in the *Aṭṭḥa-kathā* a three-caused *tad-ārammaṇa* is mentioned in this way even

for a causeless <being>. [An objection was offered:] 'How now does this fit with the statement in the *Attha-samāsa*<sup>65</sup> that it does not give three-caused fruits to causeless <beings>?'66 [The objection was rejected:] 'ask the author of the *Attha-samāsa* himself'. But others say that the <causeless being> definitely does not get a three-caused *tad-ālambaṇa* because his fundamental connecting (i.e. *patisandhi-citta*) lacks intelligence.

This is a specifically Theravādin abhidhamma debate, as it is linked to the theory of the citta-vīthi. In effect, the canonical Paṭṭhāna does not specify beyond indicating that the sequence from caused to causeless bhav'-aṅga is legitimate. Since this refers to what the later terminology calls the succession from tad-ārammaṇa to the (mūla-) bhav'-aṅga, it establishes for the author of the fifth century Abhidhamma commentary that a being whose bhav'-aṅga is causeless does sometimes have a caused tad-ārammaṇa, i.e. one of the eight mahā-vipāka. This is explained as being the result of a kamma other than the one which led to that particular rebirth. Some writers wished to limit this to the four mahā-vipāka without knowledge, but Jotipāla rejected that view.

Sumangala is commenting here on Abhidh-av 443, which simply denies the possibility of even a two-caused *tad-ārammaṇa* for a causeless being, i.e. one who is reborn in an *apāya* or as a human being who is incomplete in some major way (from conception). After citing *Paṭṭhāna* he refers to teachers who say: "causeless <beings> have caused <resultants> by means of other kamma (*hoti aññena kammena, sahetukaṃ ahetunan*)" and permit caused for the causeless, similarly three-caused <resultants> for two-caused <beings>. The stanza he cites is from the *Saccasankhepa* (Sacc 149).

Sumangala refers to the view of others who reject the possibility of a three-caused *tad-ārammaṇa* in this case because the fundamental (re)connecting mind is stupid. The argument would seem to be that, since the rest state (*bhav'-aṅga*) to which the mind continually reverts throughout life is dull and stupid, it will not support even temporary rest states (*tad-ārammaṇa*) with wisdom. The 'others' in question must

 $<sup>^{65}</sup>$  The name Attha-samāsa can be compared with  $S\bar{a}ra$ -samāsa. See: de Silva 1970, Introduction, pp. lix ff.; Mori 1988. The suggestion that the  $S\bar{a}ra$ -samāsa was a commentary on the four  $Nik\bar{a}yas$  belonging to the Jetavana school is probably correct.

<sup>&</sup>lt;sup>66</sup> The Burmese edition (and C°1961) must be in error here, by omitting a *na* or something similar. Compare Abhidh-s-mht 121, where Sumangala gives a briefer version of the same debate: *idha ñāṇa-sampayutta-vipākâbhāva-vacanassa parihāsa-vasena, so eva pucchitabbo, yo tassa kattā ti vuttam.* 

include Anuruddha, the author of *Paramatthavinicchaya* (Pm-vn), since the wording at Pm-vn 271 is very close. That it is Anuruddha to whom Sumangala refers is clear from his treatment of this issue in his *mahātīkā* to the *Abhidhammatthasangaha* (Abhidh-s-mht 121).

For Sumangala, Anuruddha is also the author of the *Abhidhammatthasangaha* (Abhidh-s). So the discussion in Abhidh-s-mht starts from the position of Abhidh-s, which explicitly denies resultants with knowledge for two-caused and causeless beings in a fortunate destiny. Indeed, it goes further and denies that any caused resultants occur to beings in an *apāya* (Abhidh-s IV 41f.). Sumangala commences his comments by acknowledging that the *Paṭṭhāna* source text allows the possibility of a two-caused *tad-ārammaṇa* due to miscellaneous *kamma*. He then points out that Anuruddha rejects this explicitly and gives as the reason for this that the fundamental (re) connecting mind is stupid. Thus far his argument expands the *sanne* of Sumangala's teacher Sāriputta (Abhidh-s-sn 124). He now adds further material, drawn from Abhidh-av-t (cited above).<sup>67</sup>

"But the teacher, Elder Jotipāla, said that there is a three-caused *tad-ārammaṇa* even for causeless <beings> because 'caused *bhav'-aṅga*' is given <in Paṭṭhāna> without specifying. Then he said the following: 'ask the author of the <*Attha-samāsa>* himself', as a humorous rejection of the claim that there are no resultants joined with knowledge in the case <of causeless beings>."

Sumangala goes on to point out that, although this was said humorously, in fact the right thing to do is to consult an (or the)  $\bar{a}cariya$  (presumably meaning Anuruddha, the author of both Abhidh-s and Pm-vn) and then quotes from Pm-vn explicitly. He adds that others comment: "just as there is a caused tad- $\bar{a}$ rammaṇa for causeless beings, similarly there is a three-caused  $tad-\bar{a}$ rammaṇa for two-caused beings. And in compliance with their understanding, people say that the rejection of resultants joined with knowledge at this point <in Abhidh-s> applies only to <the case of> the causeless."

<sup>&</sup>lt;sup>67</sup> As von Hinüber points out (Hinüber 1996, §346), Abhidh-s-mhṭ was 'finished within the astonishingly short time of 24 days'. This is no doubt best accounted for by supposing that Sumangala is translating his teacher's *sanne* into Pali and adding material from an already written Abhidh-av-ṭ.

<sup>&</sup>lt;sup>68</sup> Taṃ pana parihāsa-vasena vuttam pi ācariyaṃ pucchitvā va vijānan'-atthaṃ vuttavacanaṃ viya ṭhitaṃ. Tathā hi ācariyen'ev' ettha kāraṇaṃ **Param'-attha-vinicchaye** vuttam:

ñāṇa-pākā na vattanti, jaļattā mūla-sandhiyā ti (Pm-vn 271).

He then comments that, since there is no authoritative text for this, their words should be accepted after investigation, as the *ācariya* has classified the *cittas* nicely (*samakam eva*) by declaring the cause of the absence of resultants joined with knowledge through what is common to both.<sup>69</sup> Sumangala refers again to this view of Jotipāla later in Abhidh-av-t.<sup>70</sup>

This passage, which is attributed to Jotipāla in Abhidh-av-t, is cited as from the  $J\tilde{n}eya$ -saptati- $t\bar{n}k\bar{a}$  in Sacc-t, but I will postpone treatment of that for another occasion.

#### h) visual perception

Sumangala's fourth reference to Jotipāla concerns the debate on visual perception.<sup>71</sup> I shall not attempt to treat that in detail here, as I hope to return to it in part on a future occasion in connexion with other Sanskrit passages in Vism-sn.

Abhidh-av-t II 120f. (to Abhidh-av 656):

Kiñcâpi cakkhu rūpam na passati, kiñ carahi tan-nissitam viññāṇam eva. Tathā hi "mañcā ukkuṭṭhim karontī" ti-ādīsu viya nissita-kiriyam nissaye viya katvā vohāra-sambhavato "cakkhu-pasādena passatī" ti vuttam. Ācariya-Jotipāla-ttherenâpi hi iminā va adhippāyena idam vuttam.

"Although the eye does not see forms, how is it the case that only <visual> discrimination which is supported by the eye <does see forms>? Accordingly it is said that it sees by means of the sensitive matter of the eye (*cakkhu-pasāda*) just as in such examples as 'the benches make a clamouring' where there is an expression which refers to the support in place of the activity of the <peepple> supported. For this was said by the teacher Elder Jotipāla with just this intent."<sup>72</sup>

<sup>&</sup>lt;sup>69</sup> Tattha pana pamāṇa-pāṭhâbhāvato ācariyena ubhinnam pi sādhāraṇa-vasena ñāṇa-sampayutta-vipākâbhāve kāraṇaṃ vatvā samakam eva citta-paricchedassa dassitattā tesam vacanam vīmamsitvā sampaticchitabbam.

Abhidh-av-t I 355: Ahetukānam paţisandhi-sadisa-tad-ārammaṇa-vasena 'satta-tims'evā' ti vuttam; añña-kammena pana dvi-hetuka-tad-ārammaṇassâpi sambhavato eka-cattālīsa honti. Ācariya-Jotipāla-ttherassa adhippāyena ti-hetuka-vipākehi pi saddhim pañca-cattālīs'evā ti daṭṭhabbam.

<sup>&</sup>lt;sup>71</sup> Dhammajoti 1997.

<sup>&</sup>lt;sup>72</sup> cf. Vibh-anut 163: Kiles'-uppatti-nimittatāya uppatti-raham kilesam ārammanam antogadha-kilesan ti vuttam; tañ ca kho gāhake labbhamānam gahetabbe upacaritvā, yathā nissite labbhamānam nissaye upacaritvā 'mañcā ukkuṭṭhim karontī' ti.

## i) ten kinds of rūpa in a kalāpa

The last of these points is not so much a debated issue as a reference to a statement of Jotipāla, i.e. where most sources refer to a minimum of eight  $r\bar{u}pas$  in a  $kal\bar{a}pa$ , he allows ten by including also two kinds of  $anipphanna-r\bar{u}pa$ . But he then gives a verse:

Avinibbhoga-vuttīni, catu-jān' eka-lakkhaṇā nipphannān' attha vā tesu, hitvānâkāsa-lakkhane<sup>73</sup> ti.

"<The nine  $r\bar{u}pas$ "> originating from all four causes and one of the <four> 23 operate inseparably. Alternatively, omitting space and a lakkhana, there are eight  $nipphanna-r\bar{u}pas$ ."

This may well be a Pali version of a stanza which was originally in Sanskrit. Possibly it may eventually be found cited somewhere in the Sinhala *sanne* literature. Note that this idea does not appear to be mentioned in the extant  $Anut\bar{\imath}k\bar{a}$ .

## Texts used

Abbreviations used in this paper are those of the *Critical Pāli Dictionary*. Texts used are Pali Text Society editions, except for works not published by the PTS; the Burmese Chaṭṭha-saṅgāyanā editions were used for works not available in Roman script (except for those listed below). Minor details of orthography have been standardized to conform to the norms of European Pali scholarship.

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VRI: Text cited from version three of the Dhammagiri CD issued by the Vipassana Research Institute.

<sup>73</sup> Be reads: hitvāna kāya-lakkhaņe, but Ce rightly has: hitvānâkāsa-lakkhaņe.

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